

UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Norio KIMURA et al. :  
Serial No. 09/742,386 : Attn: BOX MISSING PARTS  
Filed December 22, 2000 : Attorney Docket No. 2000-1761A

APPARATUS AND METHOD FOR  
PROCESSING SEMICONDUCTOR  
SUBSTRATE

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$2296.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration ..... \$130.00  
Additional Claims Fee:  
Excess of Twenty ..... \$756.00  
Independent ..... \$1280.00  
Verified English Translation ..... \$130.00

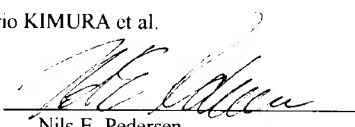
A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Norio KIMURA et al.

By

  
Nils E. Pedersen  
Registration No. 33,145  
Attorney for Applicants

NEP/kl  
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May 25, 2001

[Check No. \_\_\_\_\_]

2000 1761A



UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : ATTN: **BOX MISSING PARTS**  
Norio KIMURA et al. : Docket No. 2000-1761A  
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Filed December 22, 2000 :

APPARATUS AND METHOD FOR  
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SUBSTRATE

**ADDITIONAL CLAIMS FEE TRANSMITTAL LETTER**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application. Additional fees required as a result of this Amendment are calculated as follows:

	SMALL ENTITY		LARGE ENTITY
Total Claims exceeding 20			
(not already paid for): 42 x	(\$ 9 = \$)	or	(\$18 = \$756.00)
Indep. Claims exceeding 3			
(not already paid for): 16 x	(\$40 = \$)	or	(\$80 = \$1280.00)
<input type="checkbox"/> Multiple Dep. Claim(s)			
(if there previously			
were none): +	(\$135 = \$)	or	(\$270 = \$)
Total Additional Fee =	\$	or	<u>\$2036.00</u>

- ☐ Small entity status of this application is established by the verified statement under 37 C.F.R. 1.9 and 1.27 which
- ☐ is enclosed or
- ☐ has been previously submitted.

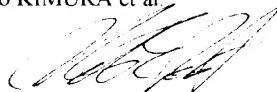
☒ A check in the amount of \$2036.00 is enclosed.

- [] Please charge Deposit Account No. 23-0975 the amount of \$ to cover additional fee. The Commissioner is authorized to charge any deficiency associated with this communication or to credit any overpayment to the Deposit Account. The original and two copies of this document are enclosed.

Respectfully submitted,

Norio KIMURA et al.

By



Nils E. Pedersen  
Registration No. 33,145  
Attorney for Applicants

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May 25, 2001

THE COMMISSIONER OF PATENT AND TRADEMARK OFFICE  
TO COMMISSIONER OF PATENT AND TRADEMARK OFFICE  
RECEIVED  
AUGUST 1, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20503  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/742,386	12/22/2000	Norio Kimura	2000-1761A

000513

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K STREET N. W. SUITE 800  
WASHINGTON, DC 20006

CONFIRMATION NO. 8728

## FORMALITIES LETTER



\*OC000000005906241\*

Date Mailed: 03/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 260.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.) (see 37 CFR 1.84(u)(1));

*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY